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Department of State

[Public Notice ]

Bureau of Educational and Cultural Affairs (ECA) Request

for Grant Proposals: American Music Abroad

Announcement Type: New Cooperative Agreement

Funding Opportunity Number: ECA/PE/C/CU-11-09

Catalog of Federal Domestic Assistance Number: 19.415

Key Dates:

Application Deadline: April 29, 2011

### Executive Summary:

The Cultural Programs Division in the Office of Citizen Exchanges in the Bureau of Educational and Cultural Affairs (ECA) announces an open competition for a cooperative agreement to administer the American Music Abroad program. The program will consist of approximately ten tours for a select number of professional American artists in a wide range of uniquely American musical genres. The program is designed to broadly represent the excellence and diversity of traditional American music. Some examples of American music genres include, but are not be limited to, contemporary urban music, hip hop, rock and roll, jazz and American roots music genres like country and western,

bluegrass, zydeco, Cajun, and folk. The musicians selected for this program must demonstrate high artistic ability, evidence a strong commitment to education and exchange activities, and reflect the diversity of America and American music. They must be conversant with the broader aspects of contemporary American society and culture. International tours will include workshops, master classes, and outreach activities, in addition to performances.

U.S. public and non-profit organizations meeting the provisions described in Internal Revenue code section 26

USC 501 (c) (3) may submit proposals that support the goals of the American Music Abroad program: to promote mutual understanding between the people of the United States and other countries, and cross-cultural awareness. The tours accomplish this by providing an opportunity for international audiences to experience American musical life, highlighting the contemporary music scene as well as our country's cultural history, and allowing American performers to learn about life and culture in the foreign host countries.

The Bureau is particularly interested in proposals for the administration of tours by American musicians representing diverse American music genres to countries with significant underserved populations that may not otherwise have access to American art forms, and countries with significant youth populations. The Bureau is also interested in proposals for projects that reach indigenous populations. No guarantee is made or implied that a grant will be awarded for tours to any particular region or that tours will be organized to any particular region.

To be eligible for this competition, all organizations must demonstrate a minimum of five years' experience successfully conducting international performing arts exchange programs in the music field. The organization must have experience administering programs in multiple musical genres.

## I. Funding Opportunity Description:

#### Authority:

Overall grant making authority for this program is contained in the Mutual Educational and Cultural Exchange Act of 1961, Public Law 87-256, as amended, also known as the Fulbright-Hays Act. The purpose of the Act is "to enable the Government of the United States to increase mutual understanding between the people of the United States and the people of other countries...; to strengthen the ties which unite us with other nations by demonstrating the educational and cultural interests, developments, and achievements of the people of the United States and other nations...and thus to assist in the development of friendly, sympathetic and peaceful relations between the United States and the other countries of the world." The funding authority for the program above is provided through legislation.

#### Purpose:

The Bureau seeks proposals to engage people and audiences overseas that do not normally have access to American cultural performances or American artists by presenting at

least ten tours of musical groups representing a wide range of American musical genres.

Specific terms for the selection of the musical groups will be developed in collaboration with ECA, and subject to ECA approval. Significantly, proposals should describe application and selection plans that seek to represent the broadest possible diversity of American musical genres. general, of the 10 selected American Music Abroad musical groups, no more than two groups may be repeat or alumni groups that have participated in past American Music Abroad programs and no single group may participate in the program more than twice. Performances are only one aspect of an exchange program focusing on people-to-people interactions. American Music Abroad musicians will be expected to conduct or participate in master classes, lectures, workshops with people from varied age groups and musical backgrounds, impromptu musical sessions, radio and TV appearances, and other activities with local cultural institutions, musicians, media and students. Therefore it is important that the proposal include plans for identifying groups who can conduct exchange activities as well as perform.

Participating musicians must be U.S. citizens who are at least 21 years old; demonstrate the highest artistic, performance and teaching abilities; be dedicated to interactive educational activities targeting various agegroups and musical abilities; have a strong interest in intercultural exchange; be conversant with broader aspects of contemporary American society and culture; be adaptable to rigorous touring through regions of the world where travel and performance situations may be difficult; and represent the diversity of America and American Music.

The search, adjudication and selection process of the musicians must consist of an open call to U.S. musicians with clear and transparent selection criteria and mechanism approved by ECA, geared to final competitive selection by an independent panel that includes an ECA representative and culminating in a diverse cadre of musicians.

Auditions should be held in two cities (one on the East Coast and one on the West Coast) and selected in

consultation with ECA. A panel of judges should be assembled in consultation with ECA. Any pre-screening of applicant musicians prior to the auditions (such as blind musical screenings) must be agreed to in consultation with ECA.

The selected musicians (approximately 10 ensembles of varying size, possibly including trios, quartets, and quintets) must represent the diversity of America and American music and be able to use musical expression to convey to international audiences and workshop participants ideas about American culture, history and society. ECA will provide additional guidance during selection process to ensure that the diversity of American music is properly represented. For example, at least five musical genres are represented among the final 10 groups, with no more than 2 groups from each genre. Successful applicants will have a clearly developed strategy for attracting applications nationwide to encourage regional and musical diversity from musicians representing urban, rock, jazz and American roots music styles.

To ensure that the program continues to recruit new diversity and talent, American Music Abroad musicians may only participate in the program twice, and only 2 of the final 10 groups may be American Music Abroad alumni groups. Performances are only one aspect of an exchange program focusing on people-to-people interactions. American Music Abroad participants will be expected to conduct or participate in master classes, lectures, workshops, impromptu jam sessions, radio and TV appearances, and other activities with local cultural institutions, musicians, media and students.

Applicants should describe their project team's capacity for successfully planning recruitment and selection and provide a detailed sample program to illustrate planning capacity and ability to achieve program objectives.

Proposals should reflect a practical understanding of global issues, and demonstrate sensitivity to cultural, political, economic and social differences in regions where

tour groups may perform. Special attention should be given to describing the applicant organization's experience with planning and implementing complex and unpredictable logistical undertakings overseas. Applicants should describe their project team's capacity for successfully planning projects of this nature and provide a detailed sample program (to include itineraries) to illustrate planning capacity and ability to achieve program objectives.

Applicants must identify all U.S. partner organizations and venues with whom they are proposing to collaborate and describe previous cooperative projects in the section on "Institutional Capacity." Applicants must include in their proposal supporting materials or documentation that demonstrates a minimum of five years experience in conducting global exchanges in the music field. The organization must also demonstrate it has experience dealing with multiple musical genres.

The successful applicant will incorporate social media and innovative technologies into a well-developed public relations and outreach strategy. Proposals must include specific information regarding online educational materials to supplement the international tour activities. Proposals must include references with name and contact information for other assistance awards the applicant has received so the Bureau may contact them directly.

# Requirements of the Award Recipient:

ECA intends to give one assistance award to a qualified institution or organization to administer the American Music Abroad program globally. Activities funded through this cooperative agreement support the organization and implementation of approximately ten (10) international tours, and must include, but are not limited to:

1. Designing, organizing, and implementing a transparent, open, national competition process to select approximately ten (10) U.S. musical groups. Musical genres should be representative of the diversity of U.S. society and culture

and should include, among others, American roots, hip-hop, rock and roll, and jazz.

- 2. Programming musical, educational, and media tour activities in consultation with U.S. embassies and ECA. For each overseas location, the award recipient will be required to coordinate closely with staff at U.S. embassies and consulates abroad to help advance their public diplomacy objectives, as well as find and secure appropriate venues for performances and workshops and manage a broad range of logistics issues.
- 3. Assisting musicians with passport, visa, immunizations, and other pre-tour preparations.
- 4. Making all international travel arrangements and coordinating with posts on all in-country overseas travel;
- 5. Making all financial and administrative arrangements with the musicians.
- 6. Organizing orientation sessions and pre-travel briefings that provide participants with media training, cultural briefings about the countries on the tour, and specific information regarding the context for their mission as cultural ambassadors.

- 7. Scheduling a pre-tour briefing session for each ensemble with State Department regional experts and ECA program officers in attendance. This event should be scheduled in coordination with a Washington, D.C., public performance.
- 8. Scheduling an associated event as part of the annual American Music Abroad program.
- 9. Scheduling public performance dates in Washington,
  D.C., for each ensemble. Applicants may schedule public
  performances in the audition city as well.
- 10. Developing outreach to international and U.S. media as part of a comprehensive media and public relations strategy developed by the awardee in consultation with and approved by ECA. The successful applicant will incorporate social media and innovative technologies into their outreach strategy.
- 11. Producing press and educational materials appropriate for foreign audiences who may not be familiar with the U.S. and/or American music (including, as needed, translation of materials). The successful applicant will include an

online education component to enhance international touring activities.

- 12. Shipping performance and education materials.
- 13. Regularly providing ongoing and detailed information to the Program Office regarding tour schedules, venues and program activities, performance and workshop results, tour highlights, and media coverage.
- 14. Assisting ensembles and U.S. embassies with follow-on program development.
- 15. Evaluating program activities.
- 16. Reporting on tour activities, including audience and participant numbers and outreach efforts, to ECA.

Applicants must have experience in global exchange planning and implementation, and should address the above elements in the proposal. The grantee must be highly responsive and able to work in close consultation with ECA and the Public Affairs Sections of the participating U.S. embassies.

## ECA Responsibilities:

In a cooperative agreement, ECA/PE/C/CU is substantially involved in program activities above and beyond routine monitoring. ECA/PE/C/CU activities and responsibilities for this program are as follows:

- 1. Approval of audition cities, recruitment and selection process, and judges and judging criteria;
- 2. Participation in the selection of musicians, orientation and debriefing activities;
- 3. Identification of four to six countries for each tour.

  Countries will be those of importance to the Department of

  State's public diplomacy mission to build mutual

  understanding in the following world regions: Middle East,

  East Asia and the Pacific, Africa, South and Central Asia,

  Europe and/or South/Central America;
- 4. Arrangement of participation by Department of State officers in pre-tour briefings and any debriefings that might take place;
- 5. Approval of media and public relations strategies and arrangements for a showcase event;

6. Approval of all tour arrangements, including daily program schedules.

### II. Award Information:

Type of Award: Cooperative Agreement. ECA's level of involvement in this program is listed under number I above.

Fiscal Year Funds: FY-2011

Approximate Total Funding: \$1,500,000

Approximate Number of Awards: 1

Approximate Average Award: \$1,500,000

Ceiling of Award Range: \$1,500,000

of funds.

Anticipated Award Date: June 1, 2011, Pending availability

Anticipated Project Completion Date: February 28, 2013

Additional Information: Pending successful implementation of this program and the availability of funds in subsequent fiscal years, it is ECA's intent to renew this cooperative

agreement for two additional fiscal years before openly competing it again.

## III. Eligibility Information:

- III.1. Eligible applicants: Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3).
- III.2. Cost Sharing or Matching Funds: There is no minimum or maximum percentage required for this competition.

  However, the Bureau encourages applicants to provide maximum levels of cost sharing and funding in support of its programs.

When cost sharing is offered, it is understood and agreed that the applicant must provide the amount of cost sharing as stipulated in its proposal and later included in an approved grant agreement. Cost sharing may be in the form of allowable direct or indirect costs. For accountability, you must maintain written records to support all costs which are claimed as your contribution, as well as costs to be paid by the Federal government. Such records are

subject to audit. The basis for determining the value of cash and in-kind contributions must be in accordance with OMB Circular A-110, (Revised), Subpart C.23 - Cost Sharing and Matching. In the event you do not provide the minimum amount of cost sharing as stipulated in the approved budget, ECA's contribution will be reduced in like proportion.

# III.3. Other Eligibility Requirements:

- a.) Bureau grant guidelines require that organizations with less than four years experience in conducting international exchanges be limited to \$60,000 in Bureau funding. ECA anticipates making one award, in an amount up to \$1,500,000 to support program and administrative costs required to implement this exchange program. Therefore, organizations with less than four years experience in conducting international exchanges are ineligible to apply under this competition. The Bureau encourages applicants to provide maximum levels of cost sharing and funding in support of its programs.
- b.) Technical Eligibility: All proposals must comply with the following:

- 1) Full adherence to the guidelines stated herein and in the Solicitation Package;
- 2) Proposal submission deadline date;
- 3) Non-profit organization status, and;
- 4) For purposes of this competition, at least five years of demonstrated experience in programming globally in the music field, or your proposal will be declared technically ineligible and given no further consideration in the review process. Please see III.3.b.4 below regarding the eligibility requirements of additional experience from prospective applicants.

### IV.) Application and Submission Information:

Note: Please read the complete announcement before sending inquiries or submitting proposals. Once the RFGP deadline has passed, Bureau staff may not discuss this competition with applicants until the proposal review process has been completed.

### IV.1 Contact Information to Request an Application Package:

Please contact the Cultural Programs Division (ECA/PE/C/CU) in the Office of Citizen Exchanges, U.S. Department of State, SA-5, 2200 C Street N.W., Washington, D.C. 20037, 202-632-6412, fax 202/-632-9355; email JarrettMA@state.gov to request a Solicitation Package. Please refer to the Funding Opportunity Number ECA/PE/C/CU-11-09 located at the top of this announcement when making your request.

Alternatively, an electronic application package may be obtained from grants.gov. Please see section IV.3f for further information.

The Solicitation Package contains the Proposal Submission Instructions (PSI) document which consists of required application forms, and standard guidelines for proposal preparation.

Please specify Melissa Jarrett and refer to the Funding
Opportunity Number ECA/PE/C/CU-11-09 located at the top of

this announcement on all other inquiries and correspondence.

## IV.2. To Download a Solicitation Package Via the Internet:

The entire Solicitation Package may be downloaded from the Bureau's website at

http://exchanges.state.gov/education/rfgps/menu.htm, or from the Grants.gov website at http://www.grants.gov.

Please read all information before downloading.

IV.3. Content and Form of Submission: Applicants must follow all instructions in the Solicitation Package. The original and 10 copies (11 proposals total) of the application should be submitted per the instructions under IV.3f. Application Deadline and Methods of Submission section below.

IV.3a. You are required to have a Dunn and Bradstreet Data Universal Numbering System (DUNS) number to apply for a grant or cooperative agreement from the U.S. Government.

This number is a nine-digit identification number, which uniquely identifies business entities. Obtaining a DUNS number is easy and there is no charge. To obtain a DUNS number, access http://www.dunandbradstreet.com/ or call 1-866-705-5711. Please ensure that your DUNS number is included in the appropriate box of the SF - 424 which is part of the formal application package.

IV.3b. All proposals must contain an executive summary, proposal narrative and budget.

Please Refer to the Solicitation Package. It contains the mandatory Proposal Submission Instructions (PSI) document for additional formatting and technical requirements.

IV.3c. All federal award recipients and sub-recipients must maintain current registrations in the Central Contractor Registration (CCR) database and have a Dun and Bradstreet Data Universal Numbering System (DUNS) number. Recipients and sub-recipients must maintain accurate and

up-to-date information in the CCR until all program and financial activity and reporting have been completed. All entities must review and update the information at least annually after the initial registration and more frequently if required information changes or another award is granted.

You must have nonprofit status with the IRS at the time of application. Please note: Effective January 7, 2009, all applicants for ECA federal assistance awards must include in their application the names of directors and/or senior executives (current officers, trustees, and key employees, regardless of amount of compensation). In fulfilling this requirement, applicants must submit information in one of the following ways:

1) Those who file Internal Revenue Service Form 990, "Return of Organization Exempt From Income Tax," must include a copy of relevant portions of this form. 2) Those who do not file IRS Form 990 must submit information above in the format of their choice.

In addition to final program reporting requirements, award recipients will also be required to submit a one-page document, derived from their program reports, listing and describing their grant activities. For award recipients, the names of directors and/or senior executives (current officers, trustees, and key employees), as well as the one-page description of grant activities, will be transmitted by the State Department to OMB, along with other information required by the Federal Funding Accountability and Transparency Act (FFATA), and will be made available to the public by the Office of Management and Budget on its USASpending.gov website as part of ECA's FFATA reporting requirements.

If your organization is a private nonprofit which has not received a grant or cooperative agreement from ECA in the past three years, or if your organization received nonprofit status from the IRS within the past four years,

you must submit the necessary documentation to verify nonprofit status as directed in the PSI document. Failure to do so will cause your proposal to be declared technically ineligible.

IV.3d. Please take into consideration the following information when preparing your proposal narrative:

## IV.3d.1 Adherence To All Regulations Governing The J Visa

The Bureau of Educational and Cultural Affairs places critically important emphases on the secure and proper administration of Exchange Visitor (J visa) Programs and adherence by recipient organizations and program participants to all regulations governing the J visa program status.

A copy of the complete regulations governing the administration of Exchange Visitor (J) programs is available at http://exchanges.state.gov or from:

Office of Designation, Private Sector Programs Division
U.S. Department of State
ECA/EC/D/PS, SA-5, 5th Floor
2200 C Street, NW
Washington, DC 20037

Please refer to Solicitation Package for further information.

# IV.3d.2 Diversity, Freedom and Democracy Guidelines

Pursuant to the Bureau's authorizing legislation, programs must maintain a non-political character and should be balanced and representative of the diversity of American political, social, and cultural life. "Diversity" should be interpreted in the broadest sense and encompass differences including, but not limited to ethnicity, race, gender, religion, geographic location, socio-economic status, and disabilities. Applicants are strongly encouraged to adhere to the advancement of this principle both in program administration and in program content.

Please refer to the review criteria under the 'Support for Diversity' section for specific suggestions on

incorporating diversity into your proposal. Public Law 104-319 provides that "in carrying out programs of educational and cultural exchange in countries whose people do not fully enjoy freedom and democracy," the Bureau "shall take appropriate steps to provide opportunities for participation in such programs to human rights and democracy leaders of such countries." Public Law 106 - 113 requires that the governments of the countries described above do not have inappropriate influence in the selection process. Proposals should reflect advancement of these goals in their program contents, to the full extent deemed feasible.

# IV.3d.3. Program Monitoring and Evaluation

Proposals must include a plan to monitor and evaluate the project's success, both as the activities unfold and at the end of the program. The Bureau recommends that your proposal include a draft survey questionnaire or other technique plus a description of a methodology to use to link outcomes to original project objectives. The Bureau expects that the recipient organization will track

participants or partners and be able to respond to key evaluation questions, including satisfaction with the program, learning as a result of the program, changes in behavior as a result of the program, and effects of the program on institutions (institutions in which participants work or partner institutions). The evaluation plan should include indicators that measure gains in mutual understanding as well as substantive knowledge.

Successful monitoring and evaluation depend heavily on setting clear goals and outcomes at the outset of a program. Your evaluation plan should include a description of your project's objectives, your anticipated project outcomes, and how and when you intend to measure these outcomes (performance indicators). The more that outcomes are "smart" (specific, measurable, attainable, resultsoriented, and placed in a reasonable time frame), the easier it will be to conduct the evaluation. You should also show how your project objectives link to the goals of the program described in this RFGP.

Your monitoring and evaluation plan should clearly distinguish between program outputs and outcomes. Outputs are products and services delivered, often stated as an amount. Output information is important to show the scope or size of project activities, but it cannot substitute for information about progress towards outcomes or the results achieved. Examples of outputs include the number of people trained or the number of seminars conducted. Outcomes, in contrast, represent specific results a project is intended to achieve and is usually measured as an extent of change. Findings on outputs and outcomes should both be reported, but the focus should be on outcomes.

We encourage you to assess the following four levels of outcomes, as they relate to the program goals set out in the RFGP (listed here in increasing order of importance):

- 1. Participant satisfaction with the program and exchange experience.
- 2. Participant learning, such as increased knowledge, aptitude, skills, and changed understanding and attitude.

Learning includes both substantive (subject-specific) learning and mutual understanding.

- 3. Participant behavior, concrete actions to apply knowledge in work or community; greater participation and responsibility in civic organizations; interpretation and explanation of experiences and new knowledge gained; continued contacts between participants, community members, and others.
- 4. Institutional changes, such as increased collaboration and partnerships, policy reforms, new programming, and organizational improvements.

Please note: Consideration should be given to the appropriate timing of data collection for each level of outcome. For example, satisfaction is usually captured as a short-term outcome, whereas behavior and institutional changes are normally considered longer-term outcomes.

Overall, the quality of your monitoring and evaluation plan will be judged on how well it 1) specifies intended

outcomes; 2) gives clear descriptions of how each outcome will be measured; 3) identifies when particular outcomes will be measured; and 4) provides a clear description of the data collection strategies for each outcome (i.e., surveys, interviews, or focus groups). (Please note that evaluation plans that deal only with the first level of outcomes [satisfaction] will be deemed less competitive under the present evaluation criteria.)

Recipient organizations will be required to provide reports analyzing their evaluation findings to the Bureau in their regular program reports. All data collected, including survey responses and contact information, must be maintained for a minimum of three years and provided to the Bureau upon request.

IV.3e. Please take the following information into consideration when preparing your budget:

IV.3e.1. Applicants must submit SF-424A - "Budget Information - Non-Construction Programs" along with a comprehensive budget for the entire program. The award may not exceed \$1,500,000. There must be a summary budget as well as breakdowns reflecting both administrative and program budgets. Applicants may provide separate subbudgets for each program component, phase, location, or activity to provide clarification.

IV.3e.2. For budgeting purposes, applicants should estimate costs based approximately ten musical groups (e.g. trios, quartets, and quintets) traveling for four (4) weeks to six (6) destinations in the following regions: Africa, East Asia, Eurasia, Central Europe and the Balkans, the Near East/North Africa, Latin America, and South Asia. Final determination of participating regions and countries will be made by ECA in collaboration with U.S. embassies and the successful applicant after the assistance award has been given.

IV.3e.3. Allowable costs for the program include the following:

1) Program Expenses, including but not limited to: domestic and international travel for the selected ensembles (per The Fly America Act); visas and immunizations; airport taxes and country entrance fees; honoraria; educational materials and presentation items; excess and overweight baggage fees; trip itinerary booklets; press kits and promotional materials; follow-on activities; monitoring and evaluation; international travel for program implementation and/or evaluation purposes; and other justifiable expenses related to program activities.

The following guidelines may be helpful in developing a proposed budget:

A. Travel Costs. International and domestic airfares. (per The Fly America Act), transit costs, ground transportation, and visas for the American Music Abroad participants to travel to the tour destinations. Travel costs should also include airfare for selected finalists to travel to the nearest audition city.

- B. Per Diem: Domestic Per Diem should be estimated for selected finalists attending auditions in the nearest audition city. For the Washington, D.C. portion of the tour, organizations should use the published Federal per diem rates, and estimate per diems based on a two-night stay per ensemble member. The Public Affairs Sections of the participating U.S. embassies and consulates generally are responsible for per diem abroad. Domestic per diem rates may be accessed at:
- http://www.gsa.gov/Portal/gsa/ep/contentView.do?contentId=17943&contentType=GSA BASIC%20
- C. Sub-grantees and Consultants. Sub-grantee organizations may be used, in which case the written agreement between the prospective grantee and sub-grantee should be included in the proposal. Sub-grants must be itemized in the budget under General Program Expenses. Consultants may be used to provide specialized expertise. Daily honoraria cannot exceed \$250 per day, and applicants are strongly encouraged to use organizational resources, and to cost share heavily in this area.
- D. Health Insurance. Each American Music Abroad participant will be covered under the terms of the ECA-

sponsored COINS health insurance policy. The cost for international travel insurance for staff travel may be included in the proposal budget.

- E. Honoraria for American Music Abroad musicians. Daily honorarium is \$200 per day for each performer, including rest and travel days.
- F. Educational and Promotional Items. Ensemble members may use these funds for individual purchases or they may pool funds for joint purposes. ECA funds for educational and promotional items (e.g. CDs, guitar strings, lapel pins, etc.) should not exceed \$500 per ensemble.
- G. Excess Baggage. Excess baggage costs are based on the size and weight of the instrument. Excess baggage estimates may be subject to change once actual tour itineraries are scheduled; however for proposal budget purposes, costs should be estimated at \$3,500 per ensemble.
- H. Immunizations/Visas. For purposes of a proposed budget, line items for immunizations should be estimated at \$400 per musician, and visas/visa photos should be estimated at \$600 per musician.

- I. Press/Outreach Kits. Each relevant U.S. embassy should receive appropriate contents for press kits. Items may be sent electronically with the understanding that in some cases, embassies may not be able to access large files or attachments. This line item may include funds for shooting and duplicating black and white publicity photos and duplicating CDs, as well as creating banners or other backdrops for display at performances.
- J. Translation of outreach and/or educational materials.
- K. Staff Travel. Allowable costs include domestic staff travel for one staff member to attend recruitment/selection events in two U.S. cities and to pre-tour briefings and performances in Washington, D.C. International staff travel will be allowable, especially if associated with monitoring and evaluation, as long as costs for a full four-to-six week tour for each ensemble are completely covered. Cost-sharing for staff travel is strongly encouraged.
- L. Travel, Per Diem, and equipment costs related to scheduling an associated domestic event as part of the annual American Music Abroad program.

M. Other justifiable expenses directly related to supporting program activities.

For purposes of this competition, please use the following program as a model: One musical ensemble consisting of four musicians travels to Cyprus, Turkey, Egypt, Lebanon and Syria over the course of four weeks.

2. Administrative Costs. Costs necessary for the effective administration of the program may include salaries for grantee organization employees, benefits, and other direct and indirect costs per detailed instructions in the Solicitation Package. While there is no rigid ratio of administrative to program costs, proposals in which the administrative costs do not exceed 25% of the total requested from ECA grant funds will be more competitive on cost effectiveness. Please refer to the Solicitation Package for complete budget guidelines and formatting instructions.

Please refer to the Solicitation Package for complete budget guidelines and formatting instructions.

IV.3f. Application Deadline and Methods of Submission:

Application Deadline Date: April 29, 2011

Reference Number: ECA/PE/C/CU-11-09

Methods of Submission:

Applications may be submitted in one of two ways:

1.) In hard-copy, via a nationally recognized overnight delivery service (i.e., DHL, Federal Express, UPS, Airborne Express, or U.S. Postal Service Express Overnight Mail, etc.), or 2.) electronically through http://www.grants.gov/.

Along with the Project Title, all applicants must enter the above Reference Number in Box 11 on the SF-424 contained in the mandatory Proposal Submission Instructions (PSI) of the solicitation document.

IV.3f.1 Submitting Printed Applications

Applications must be shipped no later than the above deadline. Delivery services used by applicants must have in-place, centralized shipping identification and tracking

systems that may be accessed via the Internet and delivery people who are identifiable by commonly recognized uniforms and delivery vehicles. Proposals shipped on or before the above deadline but received at ECA more than seven days after the deadline will be ineligible for further consideration under this competition. Proposals shipped after the established deadlines are ineligible for consideration under this competition. ECA will not notify you upon receipt of application. It is each applicant's responsibility to ensure that each package is marked with a legible tracking number and to monitor/confirm delivery to ECA via the Internet. Delivery of proposal packages may not be made via local courier service or in person for this competition. Faxed documents will not be accepted at any time. Only proposals submitted as stated above will be considered.

Important note: When preparing your submission please make sure to include one extra copy of the completed SF-424 form and place it in an envelope addressed to ECA/EX/PM.

The original and 10 copies of the application should be sent to:

Program Management Division

ECA-IIP/EX/PM

Ref.: ECA/PE/C/CU-11-09

SA-5, Floor 4

Department of State

2200 C Street, NW

Washington, D.C. 20037

Applicants submitting hard-copy applications must also submit the "Executive Summary" and "Proposal Narrative" sections of the proposal in text (.txt) or Microsoft Word format on CD-ROM. As appropriate, the Bureau will provide these files electronically to the appropriate Public Affairs Section(s) at the U.S. embassies for their review.

IV.3f.2 - Submitting Electronic Applications

Applicants have the option of submitting proposals electronically through Grants.gov (http://www.grants.gov/). Complete solicitation packages are available at Grants.gov in the "Find" portion of the system.

PLEASE NOTE: ECA bears no responsibility for applicant timeliness of submission or data errors resulting from transmission or conversion processes for proposals submitted via Grants.gov

Please follow the instructions available in the 'Get Started' portion of the site (http://www.grants.gov/GetStarted).

Several of the steps in the Grants.gov registration process could take several weeks. Therefore, applicants should check with appropriate staff within their organizations immediately after reviewing this RFGP to confirm or determine their registration status with Grants.gov.

Once registered, the amount of time it can take to upload an application will vary depending on a variety of factors including the size of the application and the speed of your internet connection. In addition, validation of an electronic submission via Grants.gov can take up to two business days.

Therefore, we strongly recommend that you not wait until the application deadline to begin the submission process through Grants.gov.

The Grants.gov website includes extensive information on all phases/aspects of the Grants.gov process, including an extensive section on frequently asked questions, located under the "For Applicants" section of the website. ECA strongly recommends that all potential applicants review thoroughly the Grants.gov website, well in advance of submitting a proposal through the Grants.gov system. ECA bears no responsibility for data errors resulting from transmission or conversion processes.

Direct all questions regarding Grants.gov registration and submission to:

Grants.gov Customer Support

Contact Center Phone: 800-518-4726

Business Hours: Monday - Friday, 7AM - 9PM Eastern Time

Email: support@grants.gov

Applicants have until midnight (12:00 a.m.), Washington,

D.C., time of the closing date to ensure that their entire

application has been uploaded to the Grants.gov site.

There are no exceptions to the above deadline.

Applications uploaded to the site after midnight of the

application deadline date will be automatically rejected by

the grants.gov system, and will be technically ineligible.

Please refer to the Grants.gov website, for definitions of various "application statuses" and the difference between a submission receipt and a submission validation.

Applicants will receive a validation e-mail from grants.gov upon the successful submission of an application. Again, validation of an electronic submission via Grants.gov can take up to two business days. Therefore, we strongly recommend that you not wait until the application deadline to begin the submission process through Grants.gov. ECA will not notify you upon receipt of electronic applications.

It is the responsibility of all applicants submitting proposals via the Grants.gov web portal to ensure that proposals have been received by Grants.gov in their entirety, and ECA bears no responsibility for data errors resulting from transmission or conversion processes.

IV.3g. Intergovernmental Review of Applications: Executive Order 12372 does not apply to this program.

## V. Application Review Information

### V.1. REVIEW PROCESS

The Bureau will review all proposals for technical eligibility. Proposals will be deemed ineligible if they do not fully adhere to the guidelines stated herein and in the Solicitation Package. All eligible proposals will be reviewed by the program office, as well as the Public Diplomacy section overseas, where appropriate. Eligible proposals will be subject to compliance with Federal and Bureau regulations and guidelines and forwarded to Bureau grant panels for advisory review. Proposals may also be reviewed by the Office of the Legal Adviser or by other Department elements. Final funding decisions are at the discretion of the Department of State's Assistant Secretary for Educational and Cultural Affairs. Final technical authority for cooperative agreements resides with the Bureau's Grants Officer.

### REVIEW CRITERIA

Technically eligible applications will be competitively reviewed according to the criteria stated below. These criteria are not rank ordered and all carry equal weight in the proposal evaluation:

### 1. Program Planning and Ability to Achieve Objectives:

Detailed agenda and relevant work plan should demonstrate substantive undertakings and logistical capacity. Agenda and plan should adhere to the program overview and guidelines described above. Proposals should clearly demonstrate how the institution will meet the program's objectives and plan.

- 2. Multiplier Effect/Impact: Proposed programs should strengthen long-term mutual understanding, including maximum sharing of information and establishment of long-term institutional and individual linkages.
- 3. Support of Diversity: Proposals should demonstrate substantive support of the Bureau's policy on diversity. Achievable and relevant features should be cited in both program administration (selection of participants, program venue and program evaluation) and program content (orientation and wrap-up sessions, program meetings, resource materials and follow-up activities).
- 4. Institutional Capacity: Proposals should include 1) the institution's mission and date of establishment; 2) an outline of prior awards- U.S. government and/or private

support received for tours abroad; 3) descriptions of experienced staff members who will be part of the team implementing the program, and; 4) all other documentation requested herein. Proposed personnel and institutional resources should be adequate and appropriate to achieve the program or project's goals. The proposal should reflect the institution's expertise in the music management arena and knowledge of the conditions in the regions abroad.

- 5. Institution's Record/Ability: Proposals should demonstrate an institutional record of at least five years of international music management planning and implementation, including responsible fiscal management and full compliance with all reporting requirements for past Bureau grants as determined by Bureau Grants Staff. The Bureau will consider the past performance of prior recipients and the demonstrated potential of new applicants.
- 6. Follow-on Activities: Proposals should provide a plan for continued follow-on activity (without Bureau support) ensuring that Bureau supported programs are not isolated events.

- 7. Project Evaluation: Proposals should include a plan to evaluate the program's success, both as the activities unfold and at the end of the program. A draft survey questionnaire or other technique plus description of a methodology to use to link outcomes to original project objectives is recommended.
- 8. Cost-effectiveness and Cost-sharing: The overhead and administrative components of the proposal, including salaries and honoraria, should be kept as low as possible.

  All other items should be necessary and appropriate.

  Proposals should maximize cost-sharing through other private sector support as well as institutional direct funding contributions.

### VI.) Award Administration Information

### VI.1a. Award Notices:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive an Federal Assistance Award (FAA) from the Bureau's Grants Office. The FAA and the original proposal with

subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipient's responsible officer identified in the application.

Unsuccessful applicants will receive notification of the results of the application review from the ECA program office coordinating this competition.

VI.1b The following additional requirements apply to this project:

# Special Provision for Performance in a Designated Combat Area (Currently Iraq and Afghanistan) (December 2008)

All Recipient personnel deploying to areas of combat operations, as designated by the Secretary of Defense (currently Iraq and Afghanistan), under assistance awards over \$100,000 or performance over 14 days must register in the Department of Defense maintained Synchronized Predeployment and Operational Tracker (SPOT) system.

Recipients of federal assistance awards shall register in

SPOT before deployment, or if already in the designated operational area, register upon becoming an employee under the assistance award, and maintain current data in SPOT. Information on how to register in SPOT will be available from your Grants Officer or Grants Officer Representative during the final negotiation and approval stages in the federal assistance awards process. Recipients of federal assistance awards are advised that adherence to this policy and procedure will be a requirement of all final federal assistance awards issued by ECA.

Recipient performance may require the use of armed private security personnel. To the extent that such private security contractors (PSCs) are required, grantees are required to ensure they adhere to Chief of Mission (COM) policies and procedures regarding the operation, oversight, and accountability of PSCs.

# VI.2 Administrative and National Policy Requirements:

Terms and Conditions for the Administration of ECA agreements include the following:

Office of Management and Budget Circular A 122, "Cost Principles for Nonprofit Organizations."

Office of Management and Budget Circular A-21, "Cost Principles for Educational Institutions."

OMB Circular A-87, "Cost Principles for State, Local and Indian Governments".

OMB Circular No. A 110 (Revised), Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations.

OMB Circular No. A-102, Uniform Administrative Requirements for Grants-in-Aid to State and Local Governments.

OMB Circular No. A-133, Audits of States, Local Government, and Non-profit Organizations

Please reference the following websites for additional information:

http://www.whitehouse.gov/omb/grants.

http://exchanges.state.gov/education/grantsdiv/terms.htm#ar
ticleI

- VI.3. Reporting Requirements: You must provide ECA with a hard copy original plus two copies of the following reports:
- 1.) A final program and financial report no more than 90 days after the expiration of the award;
- 2.) A concise, one-page final program report summarizing program outcomes no more than 90 days after the expiration of the award. This one-page report will be transmitted to OMB, and be made available to the public via OMB's USAspending.gov website as part of ECA's Federal Funding Accountability and Transparency Act (FFATA) reporting requirements.
- 3) A SF-PPR, "Performance Progress Report" Cover Sheet with all program reports.

- 4) Quarterly program and financial reports showing activities carried out and expenses incurred in the calendar quarter.
- 5) Quarterly press updates, including any articles or publicity regarding the program and an updated communications calendar.

Award recipients will be required to provide reports analyzing their evaluation findings to the Bureau in their regular program reports. (Please refer to IV. Application and Submission Instructions (IV.3.d.3) above for Program Monitoring and Evaluation information.

All data collected, including survey responses and contact information, must be maintained for a minimum of three years and provided to the Bureau upon request.

All reports must be sent to the ECA Grants Officer and ECA Program Officer listed in the final assistance award document.

## VII. Agency Contacts

For questions about this announcement, contact: Melissa Jarrett, U.S. Department of State, Cultural Programs, ECA/PE/C/CU, SA-5, Floor 3, ECA/PE/C/CU-11-09 L-16, 2200 C Street, N.W., Washington, D.C. 20520, 202-632-2412, fax 202-632-9355; JarrettMA@state.gov.

All correspondence with the Bureau concerning this RFGP should reference the above title and number ECA/PE/C/CU-11-09.

Please read the complete announcement before sending inquiries or submitting proposals. Once the RFGP deadline has passed, Bureau staff may not discuss this competition with applicants until the proposal review process has been completed.

### VIII. Other Information:

### Notice:

The terms and conditions published in this RFGP are binding and may not be modified by any Bureau representative. Explanatory information provided by the Bureau that contradicts published language will not be binding. Issuance of the RFGP does not constitute an award commitment on the part of the Government. The Bureau reserves the right to reduce, revise, or increase proposal budgets in accordance with the needs of the program and the availability of funds. Awards made will be subject to periodic reporting and evaluation requirements per section VI.3 above.

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Ann Stock

Date

Assistant Secretary for Educational and Cultural Affairs

Department of State

### Notice:

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### J. Adam Ereli

Date

Principal Deputy Assistant Secretary

Bureau of Educational and Cultural Affairs

# U.S. Department of State